

Compliance Monthly

The CAA Compliance Staff is dedicated to providing its member institutions with comprehensive Rules Compliance Services and Support. We hope that you find the CAA monthly newsletters to be useful and we welcome your feedback and ideas for new content.

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Colonial Athletic Association 2013-14 Championship Schedule

Sport	Format/# of Teams	Dates	Site
Men's Cross Country	5	November 2, 2013	Towson
Women's Cross Country	8	November 2, 2013	Towson
Women's Soccer	6	Nov. 3,8, &10, 2013	Quarterfinals: Seeds #3 & #4 Semifinals & Championship: Highest Seed
Field Hockey	4	November 8 & 10, 2013	Highest Seed
Men's Soccer	6	November 9, 14 & 16, 2013	Quarterfinals: Seeds #3 & #4 Semifinals & Championship: Highest Seed
Volleyball	6	November 22-25, 2013 *Dates flexible	Highest Seed

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Student-Athlete Complimentary Admissions to Coaches or Others Involved with Prospective Student-Athletes

The academic and membership affairs staff confirmed that a student-athlete may provide home or away complimentary admissions to a high school, preparatory school or two-year college coach or any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved as long as the complimentary admissions are not provided to the coach or other individual at the direction of an institutional staff member.

[Reference: NCAA Division I Bylaws 13.8.1 (entertainment restrictions), 16.2.1.1 (institutional events in the student-athlete's sport) and 16.2.1.1.1 (exception -- post season events)]

Educational Column

Recording Prospective Student-Athletes During Camp or Clinic Participation

Date Published: October 10, 2013

Item Ref: 1

Educational Column:

NCAA Division I institutions should note that an institutional staff member who is employed in a camp or clinic may not record prospective student-athletes' participation in the camp or clinic for recruiting purposes. It is permissible to record prospective student-athletes' participation in a camp or clinic for instructional purposes and to make the video available to the prospective student-athletes, provided the cost of producing and distributing the video is included in the cost to attend the camp or clinic or the prospective student-athlete is charged an additional fee to cover the cost of producing and providing the video.

Coaching staff members who are observing a camp or clinic that includes prospective student-athletes, but are not employed by the camp or clinic, may record prospective student-athletes' participation only if the camp or clinic occurs during a permissible recruiting period.

[References: NCAA Division I Bylaws 13.1.2.1 (general rule), 13.1.2.1.1 (off-campus recruiters), 13.1.2.5 (off-campus contacts or evaluations), and 13.12.1.5 (recruiting calendar exceptions), 13.14.3 (recruiting or scouting services) and 13.14.3.1.1 (video-only services), official interpretation (4/19/13, Item No. 1) and staff interpretation (2/18/94, Item No. a)]



National Letter of Intent Updates and Reminders

Upcoming Signing Dates: *November 13 – November 20, 2013.* All sports except football, field hockey, soccer, track and field, cross country and men's water polo.

NLI Signing Document Available October 1. The NLI will be available to populate in the member institution portal starting October 1. Although an institution can issue the NLI starting October 1, the prospective student-athlete and parent are not permitted to sign the NLI and aid agreement until the first signing date for specified sports (**November 13**). If you need instructions on populating NLIs, view the tutorial in the NLI Resources section on the member institution portal titled *Completing the NLI*. The tutorial also includes general reminders about completing the NLI which serves as a good refresher.

NLI Policy Changes for the 2013-14 Signing Year

NLI Deadlines. As a result of enhanced technology in delivering NLIs to prospective student-athletes, member institutions and the conference office, the deadlines for NLI signing and conference submission are reduced for the upcoming 2013-14 NLI signing dates.

Signing Deadline. The 14-day signing deadline is reduced to 7 days (7-day signing deadline). The prospective student-athlete must sign the NLI within 7 days from the issuance date.

Conference Submission Deadline. The 21-day conference submission deadline is reduced to 14 days (14-day conference submission deadline). The institution must submit the NLI with the athletics aid agreement to the conference office within 14 days of final signature on the NLI.

Requirements Before Providing an NLI to a Prospective Student-Athlete. A prospective student-athlete, including a two-year college transfer, must register with the NCAA Eligibility Center and be on an Institutional Request List (IRL) before an institution can provide an NLI to the prospective student-athlete. In Division I, a prospective student-athlete must also complete the Eligibility Center amateurism (sport participation) questions.

NLI Education Sessions. NLI education sessions will be offered before the November signing period begins. The dates and times (EST) are: **November 4 - 12:00 p.m., November 5 - 5:00 p.m. and November 6 - 3:00 p.m.** This will be a conference call and not a webinar so all you need is the conference call number and access code. The purpose of the call is to provide you with NLI updates, key reminders and answer your questions. Conference Call Number 866-590-5055 Access Code: 2107783

Null and Void NLIs. Thank you for emailing the CAA with your NLI null and void notifications. Please continue communicating null and void status updates to Donna Jones at the CAA, so all NLI records can be up-to-date.



NLI Signing Tutorial
A tutorial to assist PSAs and parents to better understand the NLI Provisions and the signature page are available on the NLI website.
<http://www.ncaa.org/wps/wcm/connect/nli/nli>

NCAA Interpretations

Application of Disciplinary Suspension to Graduate Transfer Student-Athletes

Date Published: October 25, 2013

Type: Staff Interpretation

Item Ref: a

Interpretation:

The academic and membership affairs staff confirmed that a graduate student who transfers to an NCAA member institution while the student is disqualified or suspended from his or her previous institution for disciplinary reasons (as opposed to academic reasons) must complete one calendar year in residence at the certifying institution.

[References: NCAA Division I Bylaws 14.1.8.1 (one-time transfer exception), 14.5.1.2 (disciplinary suspension), 14.5.5.1 (general rule) and official interpretation (11/15/90, Item No. 7)]

Contact with a Prospective Student-Athlete During Practice Associated with Competition

Date Published: October 10, 2013

Item Ref: a

Interpretation:

The academic and membership affairs staff confirmed contact shall not be made with a prospective student-athlete who is participating in a competition (e.g., golf tournament) from the time the prospective student-athlete has reported on call at the direction of a coach or has officially checked in for the event with the event operations staff, regardless of whether the event has officially commenced (e.g., before or after a practice round).

[References: NCAA Division I Bylaws 13.1.6.2 (practice or competition site) and 17.10 (golf); and a staff interpretation (6/2/1989, Item No. e), which has been archived]

Awarding Athletics Aid to a Senior Prospective Student-Athlete who will Graduate and Enroll Midyear

Date Published: October 17, 2013

Item Ref: a

Interpretation:

The academic and membership affairs staff determined that a prospective student-athlete who intends to graduate from high school midyear and enroll at a member institution midyear during the same academic year (e.g., spring semester) may sign an institutional financial aid agreement on or after August 1 of his or her senior year, provided the institution issuing the financial aid agreement establishes, prior to issuing the agreement, that the prospective student-athlete is enrolled in all coursework necessary to graduate from high school at midyear.

[References: NCAA Division I Bylaws 13.9.2 (letter of intent restriction) and 13.9.2.2 (written offer of aid before signing date); and a staff interpretation (12/15/04, Item No. 1a), which has been archived]

Seasons of Competition and Banned Drugs

Date Published: October 21, 2013

Item Ref: 1

Educational Column:

NCAA Division I institutions are reminded that the loss of a season of competition due to a student-athlete testing positive for a banned substance as part of the NCAA testing program is separate from and in addition to any seasons of competition that may have been used by engaging in competition. Therefore, it is possible for a student-athlete to be charged with two seasons of competition during the same academic year.

The following scenarios are intended to assist the membership with the application of the ineligibility for use of banned drugs legislation and its interaction with the seasons of competition legislation.

Scenario 1 (Positive Test Prior to Start of Season).

Year 1: Student-athlete competed and used a season of competition pursuant to the minimum amount of competition legislation (Season No. 1).

Year 2: Student-athlete tested positive for a banned substance prior to the start of the season and is ineligible for a minimum 365-day period. Student-athlete did not participate in any amount of competition during Year 2. Student athlete is charged with a season of competition pursuant to the banned drugs legislation (Season No. 2).

Year 3: Student-athlete competed and used a season of competition pursuant to the minimum amount of competition legislation (Season No. 3).

Year 4: Student-athlete competed and used a season of competition pursuant to the minimum amount of competition legislation (Season No. 4).

Scenario 2 (Positive Test Post Completion of Season).

Year 1: Student-athlete competed and used a season of competition pursuant to the minimum amount of competition legislation (Season No. 1).

Year 2: Student-athlete competed and used a season of competition pursuant to minimum amount of competition legislation (Season No. 2). Student-athlete tested positive for a banned substance after completion of the season. Student athlete is ineligible for a minimum 365-day period and is charged with a season of competition pursuant to the banned drugs legislation (Season No. 3).

Year 3: Student-athlete did not compete during Year 3.

Year 4: Student-athlete competed and used a season of competition pursuant to minimum amount of competition legislation (Season No. 4).

Seasons of Competition and Banned Drugs Continued on Page 6

Seasons of Competition and Banned Drugs Continued From Page 5

Scenario 3 (Positive Test In Season and Competition Directly Post Reinstatement).

Year 1: Student-athlete competed and used a season of competition pursuant to minimum amount of competition legislation (Season No. 1).

Year 2: Student-athlete competed in the first four contests of Year 2 and used a season of competition pursuant to minimum amount of competition legislation (Season No. 2) before testing positive for a banned substance. Two of the contests in which the student-athlete competed occurred after the time of collection but prior to confirmation of the positive result. Student-athlete is ineligible for a minimum 365-day period and must be withheld from two additional contests after the conclusion of the period of ineligibility. Further, student-athlete is charged with a season of competition pursuant to the banned drugs legislation (Season No. 3).

Year 3: Student-athlete is reinstated during Year 3 after conclusion of the 365-day period and is withheld from two additional contests Student-athlete competed during the remainder of Year 3 and used a season of competition pursuant to the minimum amount of competition legislation (Season No. 4).

Year 4: Student-athlete does not have any seasons of competition remaining.

Scenario 4 (Positive Test In Season and Competition Delayed Post Reinstatement).

Year 1: Student-athlete competed and used a season of competition pursuant to the minimum amount of competition legislation (Season No. 1).

Year 2: Student-athlete competed in the first four contests of Year 2 and used a season of competition pursuant to minimum amount of competition legislation (Season No. 2) before testing positive for a banned substance. Student-athlete is ineligible for a minimum 365-day period and is charged with a season of competition pursuant to the banned drugs legislation (Season No. 3).

Year 3: Student-athlete is reinstated during Year 3 after conclusion of the 365-day period but does not compete in any of the remaining contests. Therefore, student-athlete does not use a season of competition during Year 3.

Year 4: Student-athlete competed and used a season of competition pursuant to minimum amount of competition legislation (Season No. 4).

Division I institutions seeking information on the NCAA Drug Testing Program are directed to NCAA Connect and the link below:

http://www.ncaa.org/wps/myportal/ncaahome?WCM_GLOBAL_CONTEXT=/ncaa/NCAA/Legislation+and+Governance/Eligibility+and+Recruiting/Drug+Testing/

[References: NCAA Division I Bylaws 14.2.3.1 (minimum amount of competition); 18.4.1.5 (ineligibility for use of banned drugs) and 18.4.1.5.1 (duration of ineligibility); and official interpretation (03/04/11, Item No. 4)]

November Recruiting Calendars

Note: This is a color coded visual of the recruiting calendars, please consider this when printing.



Cross Country/Track & Field						
Su	Mo	Tu	We	Th	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

Nov. 23-12:01 AM to Nov. 24 at 12:01 AM is a Dead Period

Men's Basketball						
Su	Mo	Tu	We	Th	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

Nov. 11-14 Dead Period
Nov. 1-10, 15-30 Recruiting Period

Women's Basketball						
Su	Mo	Tu	We	Th	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

Nov. 11-14 Dead Period
Nov. 1-10, 15-30 Evaluation Period

Women's Volleyball						
Su	Mo	Tu	We	Th	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

Nov. 11-14 Dead Period

Softball						
Su	Mo	Tu	We	Th	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

Softball- In those states that play high school softball season in the fall, evaluations shall be permissible during those seasons, except during dead periods.

Baseball						
Su	Mo	Tu	We	Th	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

Nov. 11-14 Dead Period

Nov. 1-10,
Nov. 15-26-
Contact Period

Nov. 11-14, Nov.
27-30 Dead Period

Men's Lacrosse						
Su	Mo	Tu	We	Th	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

Nov. 1-10,15-26
Contact

Nov. 11-14 Dead
Period

Nov. 27-30 Quiet

Women's Lacrosse						
Su	Mo	Tu	We	Th	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30